HBW
ADVISORY SERVICES LLC
PROTECTING THE AMERICAN DREAM

Personal Securities Accounts

3355 Cochran St. Ste. 100, Simi Valley, CA, 93063 Tel (800) 473-3856 • Fax (800) 636-1302

This form must be submitted to the Compliance Department PRIOR to opening a personal securities account or placing an initial securities transaction outside of HBW Advisory Services LLC . This requirement applies to accounts opened with an Investment Advisor, Broker/Dealer, Bank or other Financial Institution and shall apply to any account in which the Investment Advisor Rep. has a financial interest or over which the registered person has discretionary authority.

Date of Request: ________________

Investment Advisor Representative Name: ________________

Representative Code Number: ________________

Information regarding the firm where the account is being established:

Account Registration	Account Number
Name of Firm	Attention
Address	Phone Number
City	State ZIP Code

Type(s) of Investments (circle all that apply):

Equities Fixed Income Options Mutual Funds Variable Annuities DPPs

Other ________________

Purpose of Account (circle one):

Retirement Speculation Day Trading Long-Term Investing Education Savings Estate Planning

Other ________________

Notification:

Investment Advisors Reps. are required to report certain information regarding their personal and related account investments to HBWAS prior to opening any account. ***Investment Advisors Reps. are required to supply copies of the statement quarterly to HBWAS.***.

In addition, if there is any change in your personal or related investment account information, then you must promptly notify tHBWAS of the change. This would include but is not limited to a change of custodian, a new investment objective for the account, or other such change.

Signature of Representative	Date
Home Office Principal Approval	Date

If you recently joined HBW Advisory Services LLC and are using this form to notify the compliance department of your existing account(s), please provide a copy of your most recent account statement.