



Remittance Form

2655 1st St. Ste. 250, Simi Valley, CA, 93065
Tel (800) 473-3856 • Fax (800) 636-1302

Rep Name: _____ Date: _____
Address: _____ Suite #: _____
City: _____ State: _____ Zip: _____
Tel: _____ Fax: _____ Email: _____

AUDIT FEE\$ 600.00

FINRA REGISTRATION FEE\$ 45.00

Errors and Omissions Insurance (Non-Refundable \$1100 per year)\$

Affiliation Fee \$300 per year (\$150 after July 1st)\$

Technology Fee \$800 per year (\$400 after July 1st)\$

STATE REGISTRATION - List all states that you need to be registered in.

If you wish to be registered in multiple states, please combine fees below:

State _____

Fee \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ \$ _____ = \$ _____

SECURITIES TESTS - Required only if tests must be taken.

Series 65: Uniform Investment Advisor Exam\$165.00\$

Series 66: Uniform Combined State Laws Exam*\$155.00\$

*Series 7 is the prerequisite to Series 66

OTHER FEES

Miscellaneous: Description: _____

Social Media Monitoring

Check required monitoring(s): _____ LinkedIn _____ Facebook _____ Twitter

Facebook monitoring cost - \$144 per/year Twitter monitoring cost - \$1200/year _____

TOTAL: \$ _____

All fees are non-refundable

[CLICK THE PAYMENT PROCESSING LINK TO MAKE YOUR PAYMENT](#)

**SORRY, WE ARE UNABLE TO ACCEPT
PERSONAL CHECKS AS THESE FEES
MUST BE "COLLECTED FUNDS"**